



PATENT  
STL920000055  
0054.0038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Examiner: Cesar B. Paula  
J.R. Ehrman )  
Serial No.: 09/613,083 )  
Filed: July 10, 2000 ) Art Unit: 2178  
For: METHOD OF, SYSTEM FOR, )  
AND COMPUTER PROGRAM )  
PRODUCT FOR CREATING )  
AND CONVERTING TO )  
UNICODE DATA FROM SINGLE )  
BYTE CHARACTER SETS...

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESUBMISSION OF ART CITED IN PREVIOUSLY FILED INFORMATION DISCLOSURE  
STATEMENT

Dear Sirs:

On January 30, 2001, Applicant submitted an Information Disclosure Statement (IDS) which included 7 non-patent prior-art references. (A copy of this previously submitted IDS is attached hereto). The Examiner stated that copies of these non-patent prior art were never received by the PTO. Applicant submits herewith copies of the non-patent prior art references cited in this previously filed IDS. Applicant requests that the Examiner review these references and indicate such review in the attached Form 1449.

Applicant submits that no fee is needed to submit these references because they were submitted in a previous IDS. Notwithstanding, if the Patent Office deems a fee is needed to

enter and review the references submitted herewith, please charge such fee to Deposit Account 09-0465.

Respectfully submitted,

David W. Victor  
Registration No. 39,867  
KONRAD RAYNES & VICTOR, LLP  
315 S. Beverly Drive, Suite 210  
Beverly Hills, CA 90212  
(310) 556-7983  
(310) 556-7984 (fax)

Dated: September 20, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on September 20, 2004.

David W. Victor

9/20/04  
(Date)

O I P E  
SEP 23 2004  
P A T E N T E R T R A D M

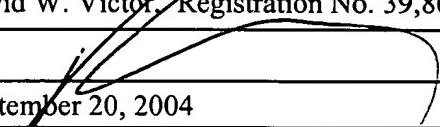
PTO/SB/21  
0054.0038

<b>TRANSMITTAL FORM</b>		Application Number	09/613,083
<i>(To be used for all correspondence after initial filing)</i>	Filing Date	July 10, 2000	
	Inventor	J.R. Ehrman	
	Group Art Unit	2178	
	Examiner Name	Cesar B. Paula	
Total Number of Pages in this Submission: 8 (plus references)	Attorney Docket Number	STL920000055	

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits /Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Resubmission of Art Cited in Previously Filed Information Disclosure Statement; PTO-1449; <u>7</u> references <input checked="" type="checkbox"/> Copy of IDS and postcard filed on January 30, 2001 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Formal Drawings: ___ sheets <input type="checkbox"/> Licensing-related papers <input type="checkbox"/> Petition: <hr/> <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, and/or Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ___	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Certificate of Correction <input type="checkbox"/> Fee Address Indication Form <input type="checkbox"/> Other Enclosure(s) <i>(please identify below)</i>
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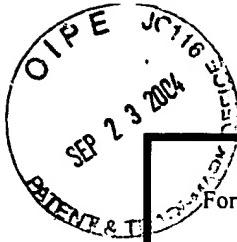
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name:	David W. Victor, Registration No. 39,867
Signature:	
Date:	September 20, 2004
KONRAD RAYNES & VICTOR, LLP 315 South Beverly Drive, Suite 210 Beverly Hills, California 90212 (310) 556-7983	<input checked="" type="checkbox"/> The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment, to Deposit Account No. 09-0460

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO, on the date indicated below.

Typed or Printed name:	David W. Victor
Signature:	
Date:	September 20, 2004
 <b>24033</b> <small>PATENT TRADEMARK OFFICE</small>	



Form 1449/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	09/613,083
Page	1	of	1	Filing Date	July 10, 2000
				First Named Inventor	J.R. Ehrman
				Art Unit	2178
				Examiner Name	Cesar B. Paula
				Attorney Docket Number	STL920000055

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
	US-				

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code- Number- Kind Code			
					T

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T
		"National Language Support Reference Manual." IBM National Language Design Guide Volume 2, 4 <sup>th</sup> Edition, August 1994.			
		"National Language Support Bidi Guide." IBM National Language Design Guide, Volume 3, 1 <sup>st</sup> Edition, October 1995.			
		"Language Reference." IBM High Level Assembler for MVS® & VM & VSE, Release 3, 3 <sup>rd</sup> Edition, September 1998.			
		"Programmer's Guide." IBM High Level Assembler for MVS® & VM & VSE, Release 3, 3 <sup>rd</sup> Edition, September 1998.			
		"National Language Suport." IBM AS/400e Series, Version 4, 2 <sup>nd</sup> Edition, February 1998.			
		"The Unicode Standard Version 3.0." The Unicode Consortium, 2 <sup>nd</sup> Printing, April 2000.			
		"Designing Internationalized Products." IBM National Language Design Guide,, 4 <sup>th</sup> Edition, October 1996.			

Examiner Signature		Date Considered
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**PLEASE ACKNOWLEDGE & RETURN**

- APPLICATION
- DECLARATION
- ASSIGNMENT
- DRAWINGS - FORMAL/INFORMAL
- MISSING PARTS

DATE MAILED: 1/30/01

- AMENDMENT
- INF. DISCLOSURE 1/449
- EXT. OF TIME
- ISSUE FEE
- OTHER: Cited References

DOCKET: STL9-2000-COSS 1157 DATE DUE: 10/10/00

IN RE APPLN. OF: John R. Ehman

TITLE: Method of System For, and Computer Program Product For Creating and Converting...

TOTAL PGS OF SPEC: — PGS OF CLAIMS: — PGS OF DRAWINGS: —

SERIAL NO: 09/613,083 TOTAL CHARGES: \$1 NA

DEPOSIT ACCT. NO. 09-0460 ATTORNEY: PWJ/bd

*U.S. Patent and Trademark Office  
SEP 23 2004  
SEARCHED INDEXED SERIALIZED FILED*

In re application of: John R. Ehrman  
Serial No.: 09/613,083  
Filed: July 10, 2000

Group No.: 2751  
Examiner: Unknown

**Title:** Method Of, System For, and Computer Program Product for Creating and Converting Unicode Data from Single Byte Character Sets, Double Byte Character Sets, Mixed Character Sets Comprising Both Single Byte and Double Byte Character Sets.

COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

SIR:

Transmitted herewith in the above-identified application are:

- Information Disclosure Statement;  
 USPTO FORM 1449;  
 Copies of cited references;  
 Return Postcard.

**FEES:**

The fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
<u>TOTAL</u>	<u>MINUS</u>	<u>20</u> = <u>0</u>	<u>x 18 =</u>	<u>\$ .00</u>
<u>INDEP.</u>	<u>MINUS</u>	<u>3</u> = <u>0</u>	<u>x 80 =</u>	<u>\$ .00</u>
<u>FIRST PRESENTATION OF MULTIPLE DEP. CLAIM</u>				
			<u>+270 =</u>	<u>\$ .</u>
			<u>Surcharge</u>	<u>\$ .</u>
			<u>TOTAL</u>	<u>\$ .</u>

No additional Fee is Required

Please charge my Deposit Account No. 09-0460 in the amount of \$ 0.00. A duplicate copy of this sheet is attached.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0460. A duplicate copy of this sheet is attached.

- Any filing fees under 37 CFR 1.16 for the presentation of extra claims.  
 Any patent application processing fees under 37 CFR 1.17.

**CERTIFICATE OF MAILING**

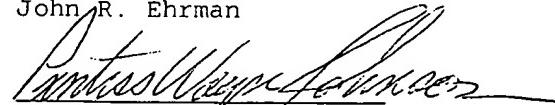
I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, DC 20231 on

January 30, 2001

Person Mailing paper/fee: Jeanette Durbin

Signature Jeanette Durbin

Respectfully submitted,  
John R. Ehrman



Prentiss W. Johnson  
Registration No. 33,123  
Attorney for Applicant(s)  
Telephone (408)463-5673  
IBM Corporation  
Intellectual Property Law  
Dept. J46/G467  
555 Bailey Avenue  
San Jose, CA 95141-1003



FORM PTO-1083/Modifie

IBM Dc Let S.STL9-2000-  
0055US1 (PATENT)

In re application of: John R. Ehrman  
Serial No.: 09/613,083  
Filed: July 10, 2000

Group No.: 2751  
Examiner: Unknown

**Title:** Method Of, System For, and Computer Program Product for Creating and Converting to Unicode Data from Single Byte Character Sets, Double Byte Character Sets, or Mixed Character Sets Comprising Both Single Byte and Double Byte Character Sets.

INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

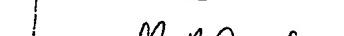
It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied thereagainst as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

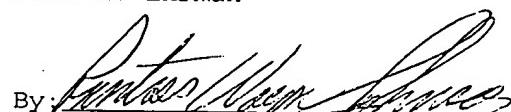
CERTIFICATE OF MAILING

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**Jeanette Berry Durbin**



Signature

Respectfully submitted,  
John R. Ehrman

By:   
Prentiss Wayne Johnson (33,123)  
Attorney for Applicant(s)  
IBM Corporation, IP Law  
555 Bailey Avenue (J46/G467)  
San Jose, CA 95141-1003  
(408) 463-5673

Form PTO 1449 U.S. Dept of Commerce  
Rev 7-80 Patent & Trademark Off.

: Atty. Docket No. :Serial No.  
: STL9-2000-0055US1:09/613,083  
: Applicant(s)  
: John Ehrman  
: File Date : Group  
: July 10, 2000 2751

LIST OF PRIOR ART CITED BY APPLICANT(S)  
(Use several sheets if necessary)

SEP 23 2004

U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT		CLASS / SUBCLASS	FILING DATE
NAME	NUMBER	DATE		
	:AA: 5440482	08/08/95	Davis	364/419.13
	:AB: 5485373	01/16/96	Davis et al.	364/419.13
	:AC: 5758314	05/26/98	McKenna	704/8
	:AD: 5787452	07/28/98	McKenna	707/536
	:AE: 5793381	08/11/98	Edberg et al.	345/467
	:AF: 5832507	11/03/98	Harper et al.	707/200
	:AG: 5873111	02/16/99	Edberg	707/536

FOREIGN COUNTRIES

DOC. NO.	DATE	COUNTRY	CLASS / SUBCLASS	TRANSLATION YES : NO
:BA:EPO852037	01/12/99 EP		G06F 17/22	X
:BB:WO 97/10556	20/03/97 PCT		G06F 17/22	X
:BC:JP10260884	09/29/98 Japan		G06F 12/00	Abstract only

OTHER PRIOR ART (INCLUDE AUTHOR, TITLE, DATE, PERTINENT PG., ETC.)

- :CA: "National Language Support Reference Manual" IBM National Language
- : Design Guide Volume 2, 4<sup>th</sup> Edition, August 1994.
- :CB: "National Language Support Bidi Guide", IBM National Language
- : Design Guide Volume 3, 1<sup>st</sup> Edition, October 1995.
- :CC: "Language Reference" IBM High Level Assembler for MVS® & VM & VSE,
- : Release 3, 3<sup>rd</sup> Edition, September 1998.
- :CD: "Programmer's Guide", IBM High Level Assembler for MVS® & VM
- : & VSE, Release 3, 3<sup>rd</sup> Edition, September 1998.
- :CE: "National Language Support" IBM AS/400e series, Version 4,
- : 2<sup>nd</sup> Edition, February 1998.
- :CF: "The Unicode Standard Version 3.0", The Unicode Consortium.
- : 2<sup>nd</sup> Printing, April 2000.
- :CG: "Designing Internationalized Products" IBM National Language
- : Design Guide, 4<sup>th</sup> Edition, October 1996.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).